

may wish to progress/take part in the SDP, they may notify their assigned caseworker who will schedule their appearance before the ICC within 30 days.

Steps 1 and 2 are specifically designated for housing of STG affiliates determined to pose a threat to the safety of staff, inmates, and the public, in addition to security of the prison based upon confirmed intelligence and/or STG behaviors. This housing designation is intended to segregate the most influential STG affiliates and those who represent the greatest threat to others and institutional security. A high level of monitoring is placed on all avenues of communication during these steps.

Steps 1 and 2 will generally be completed at Pelican Bay State Prison and the California Institution for Women (CIW); however, during the pilot phase, Steps 1 and 2 may be completed at any SHU facility. These steps are designed to be completed in 12 months each, but may be accelerated at the 180 day review, if the ICC determines the inmate has completed all necessary components including remaining free of STG related behavior, completed required program components, participated in elective programming, and demonstrated a sincere desire to participate.

Staff assigned in the SDP, including housing staff, counseling staff, education staff and facilitators, shall complete CDCR Form 2261 (10/12) Step Down Program Progress Notes (Attachment T) documenting the inmate's participation level. These documents will be used by the ICC to assist in determining an inmate's movement between steps.

During Steps 1 and 2, the program will include education and/or program assessments such as TABE testing and COMPAS assessments with cognitive skill based programming. Programming will generally be completed in cell and all offender movement outside the cell shall be in restraints. Operational details associated with Steps 1 and 2 are described in Section 700.4.

Steps 3 & 4 are specifically designated for housing of STG affiliates who have successfully completed steps 1 and 2. This housing designation is intended to begin reintegration with other SDP inmates, by offering program and privilege incentives within a controlled setting and monitoring of program progress.

Step 3 will generally be completed at California Correctional Institution (CCI), California State Prison, Corcoran (COR), California State Prison, Sacramento (SAC) or CIW; however, during the pilot phase, Step 3 may be completed at any SHU facility. Step 3 is 12 months in duration and the program will include educational and cognitive behavior components such as anger management, parenting, substance abuse, and violence prevention programs. Programming will be completed in cell or in individual treatment modules. Movement may be in restraints and meals will be consumed in the cell. Operational details associated with Step 3 are described in Section 700.4.

Step 4 will generally be completed at CCI, COR, SAC, or CIW; however, during the pilot phase, Step 4 may be completed at any SHU facility. Step 4 is 12 months in duration and the program will include education, cognitive behavior changing components, violence prevention programs, 12-step self help programs, and gang diversion programs. Programming will be completed in individual treatment modules and small groups within the housing unit or program areas.

Offender movement *may be* un-restrained and meals may be consumed in small groups in the housing unit. Yard time will be with their cell mate during the first six months and with diverse affiliations after the initial six months of the step. Operational details associated with Step 4 are described in Section 700.4.

*Section 700.1. STG Behavior or Activity in the Step Down Program:*

Failure to maintain acceptable behavior and/or refrain from STG behavior or activities while in the SDP may result in the loss of privileges and/or regression to a previous step, inclusive of return to Step 1 from any subsequent step. Refer to the SDP Placement Options Chart for a guideline on movement within the SDP.

(a) STG affiliates found guilty of continued STG behavior, while in the Step Down Program, shall be scheduled to appear before ICC for a program review.

1) Institution Classification Committee:

- A. ICC shall be convened within 30 days of completion of the disciplinary process.
- B. ICC shall discuss the inmate's behavior and encourage participation of the inmate.
- C. ICC shall discuss retention in current step, regression to beginning of the current step, regression to a prior step and/or reduction in privilege levels.
- D. the committee shall assess a determinate SHU term for an inmate found guilty in a disciplinary hearing of an offense listed in CCR, Section 3341.5(c)(9), with one of the following dispositions:
  - i. The ICC has discretion to assess and suspend any determinate SHU term to facilitate the inmate's retention in the SDP, as may be appropriate.
  - ii. When the ICC elects to assess and impose a determinate SHU term, the inmate, whenever possible, shall not be housed within the SDP portion of the SHU.
  - iii. Updated segregation reasoning must be provided in a new CDC Form 114-D, when the reasons for segregation change.
- E. The committee shall make a decision on the inmate's appropriate placement within the SDP, in accordance with the SDP Placement Options Chart, or temporary removal from the program to complete a determinate SHU term.
- F. The chairperson shall verbally inform the inmate of the decision of the committee.
- G. The committee chairperson shall ensure the inmate is provided with a copy of the CDCR Form 128-G, upon approval/signature by the Chairperson.
- H. If a transfer is determined appropriate, the case shall be referred to the CSR for review and endorsement.

*Section 700.2. Step Down Program Components*

(a) It is the intent of the Department to develop and implement the Step Down Program through a phase-in approach. Steps 1 and 2 are primarily intended as periods of observation. Components for Step 3 will continue to be enhanced. Components for Step 4 will be developed during the pilot phase of the implementation.

Self-Directed Journals for Step 3 will provide 12 months of journals focused on helping high-risk inmates live a life free of criminal activity, violence, and drug use. These journals will help develop a system of values and strategies that lead to responsible thinking and behavior. Also, this series addresses the needs of the inmates working to successfully reintegrate into a less restrictive housing environment.

Personal reinforcement check sheets have been developed that will be used by the inmate to monitor weekly/monthly program participation and progress. In addition, Individual Change Plans will be initially completed by the inmate after 6 months in the SDP. The Individual Change Plan will provide the inmate with a personal assessment of his/her overall

program progress and will be completed at six month intervals. These documents will be submitted to the Correctional Counselor II and may be used by the ICC to assist in determining an inmate's movement between steps.

The Self-Directed Journals will include the following themes:

- **The Con Game** explores how the "con game" may be at the core of an individual's fault beliefs and behaviors. The Con Game guides individuals toward self-responsibility and positive life change.
- **Values** guides inmates through an evaluation of the criminal values that have influenced their lives and help them weigh the consequences of living a life based on criminal values versus responsible values.
- **Thinking Errors** encourages inmates to examine eight basic thinking errors that lead to criminal behavior. This journal stresses the importance of changing the way people think as the key to challenging their feelings and behavior.
- **Self Control** explores the connection between criminal behavior and self-control. Inmates consider the consequences of a lack of self-control and explore strategies for handling difficult emotions.
- **Peer Relationships** addresses risks related to criminal peers. Participants consider their past and present peer relationships, learn the differences between healthy and unhealthy relationships, practice handling social peer pressure and learn how to build a positive support network.
- **Violence Prevention** explores the inmates' relationship with violence and learns how to break the cycle of violence. Inmates explore the role violence has played in their lives, learn about physical as well as emotional violence and may practice strategies to break the cycle of violence.
- **Core Skills** can help inmates build a foundation for a healthier life by learning and practicing these skills. This journal offers inmates an organized structure to explore various core skills including effective communication, handling risky situations, and making responsible decisions.
- **Social Values** identifies the inmates' values, where they came from, and the impact on themselves and others. The development of positive life values and roadblocks to incorporating healthy values are explored.
- **Responsible Thinking/Healthy Personality** addresses risks related to a criminal personality. Participants examine whether their thinking is objective and accurate. Errors in thinking are explored along with what is involved in changing habits.
- **Family Ties** evaluate current family relationships and the role they play within their families. Strategies are explored and an action plan is created to rebuild family ties. A section on parental roles and responsibilities is included.
- **Substance Abuse** addresses risks related to substance abuse. Inmates reflect on their current use of alcohol and/or other drugs. They weigh the costs and rewards of making changes to their substance abuse behaviors and learn strategies to keep them on the path of recovery.
- **Victim Awareness** helps inmates begin to consider the idea of taking personal responsibility for their criminal behavior. They look at the ripple effect of their behavior on themselves, their victims, their victims' families, their families, and their communities and consider ways to make amends for the harm they caused.
- **Orientation** assists the inmate in answering the question, "Why am I here?" and gets them started on the path to positive life change.
- **Rational Thinking** helps inmates learn how thoughts influence their beliefs, feelings, and actions and how to counter negative self-talk.

- **Criminal Lifestyles** assists inmates considering how criminal behavior develops from thinking and choices.
- **Violence Prevention** will explore the inmates' relationship with violence and learn to break the cycle of violence.
- **Communication Skills** focuses on using effective communication skills for building relationships and controlling anger.
- **Lifestyle Balance** evaluates whether or not their lives are in balance and teaches skills for making positive adjustments.
- **Transition** evaluates whether their expectations about the future are realistic and explores three key transition issues.
- **Reviewing my Drug Use** examines their drug use history and explores the damaging consequences of drug use.
- **Recovery Maintenance** focuses on maintaining positive change. Inmates consider strategies to exit from the relapse process.

Step 4 will include an integrated, cognitive behavior change program that will include cognitive restructuring, social skills development, and development of problem solving skills. This program will be designed for small groups and is an option to address the cognitive, social, and emotional needs of the inmate population.

(b) Alternative Programming Education Model:

Each institution with a SDP may provide participating inmates access to education through either the Voluntary Education Program (VEP) Model or the Alternative Programming (AP) Model. Based on the population needing education programming and the general academic reading levels of the identified inmates, the Principal will determine the most appropriate way to provide education. The Principal has the option of assigning the appropriate education staff on either a full time or partial day assignment depending on the number of inmates needing services and the overall operational need of the institution.

In order to appropriately determine the educational needs of the SDP population, the Principal and/or Testing Coordinator will determine how many of these inmates need a Test of Adult Basic Education (TABE) reading score. Once the number of inmates to be tested has been determined, the Principal will have the Testing Coordinator develop a schedule that meets the institutional security and operational needs.

Inmates participating in the SDP will have access to academic opportunities through either the VEP or AP delivery models. Depending on the operational needs of the institution and the number of inmates participating in the program, the Principal will determine the most effective model for delivering the content. In some circumstances the inmates may be able to access college programs as well. This will be determined first by the inmate's ability to pay for the course work and then by operational need of the institution. Operational considerations are not only for security reasons but for proctoring procedures and the overall number of inmates requesting to participate per period of enrollment.

(c) Self-Help Program Electives

The below noted programs are currently under consideration for inmates participating in the Step Down Program. Some of these programs will be offered in Steps 1 and 2. Inmates should be encouraged to seek out these program components and participate on a

voluntary basis, as this voluntary participation will be considered as personal initiative during review by the ICC.

- **Criminal and Gangs Anonymous (CGA)** – A 12-Step based program of recovery for criminals and gang members. The program was developed by an inmate at Mule Creek State Prison in the 1990s, and family members established the community based organization which has assisted over seven institutions and countless recovering criminal/gang members in both the prison system and on the street. This program is run at several mainline facilities in a group format with fellow inmates who have been trained as facilitators. Each meeting is guided with the use of a facilitator's handbook, and includes readings, prayer, open discussion, and personal testimony.
- **Purpose Driven Life** – A faith-based program offering self-reflection and a spiritual redirection. This program was originally introduced in the Sierra Conservation Center, and is typically run in a support group style with a sponsor facilitating. Based on the book "A Purpose Driven Life" by Rick Warren, the program can be modified to utilize an in-cell format using the paperback version and a self-directed DVD breaking down the book into a six week program. Each of the six sessions focuses on a different spiritual aspect and a printable workbook is provided to reinforce the teachings.
- **Modified Alternatives to Violence Project (AVP)** - This volunteer facilitated program teaches how to deal with anger in a positive manner. Participants engage in interpersonal exercises in a classroom setting designed to change previous patterns of violent behavior. Participants are provided with course material which is donated by AVP.

*Section 700.3. Step Down Program Privileges:*

Participation in the SDP affords STG affiliates the opportunity to refrain from STG behavior/activities, effectively interact with others, and earn enhanced privileges consistent with their ability to reintegrate into a general population setting. STG affiliates participating in the SDP will be placed in privilege group S1 through S4, commensurate with their assigned step of the SDP.

Privilege levels will be based on the following:

	SDP STEP 1 (S1)	SDP STEP 2 (S2)	SDP STEP 3 (S3)	SDP STEP 4 (S4)	SDP STEP 5
CANTEEN	Per CCR, Title 15, Section 3044 – PG S1 will be 25% of the maximum monthly canteen draw.	Per CCR, Title 15, Section 3044 – PG S2 will be 30% of the maximum monthly canteen draw.	Per CCR, Title 15, Section 3044 – PG S3 will be 40% of the maximum monthly canteen draw.	Per CCR, Title 15, Section 3044 – PG S4 will be 50% of the maximum monthly canteen draw.	Per CCR, Title 15, Section 3044.
PERSONAL PHOTO	One (1) photo upon completion of 1 year disciplinary free while in	One (1) photo upon transition to Step 2.	Two (2) photos upon transition to Step 3.	Two (2) photos upon transition to Step 4.	In accordance with general population

	segregation.				
TELEPHONE	Phone call on an emergency basis only as determined by staff.	Phone call on an emergency basis only as determined by staff. One (1) call upon transition to Step 2. This call may be facilitated at any approved time during the 12 months; however, if the inmate is found guilty of STG Behavior and regressed in the program, he/she may lose any call that is pending.	Phone call on an emergency basis only as determined by staff. Two (2) calls upon transition to Step 2. These calls may be facilitated at any approved time during the 12 months; however, if the inmate is found guilty of STG Behavior and regressed in the program, he/she may lose any calls that are pending.	Phone call on an emergency basis only as determined by staff. Four (4) calls upon transition to Step 3. These calls may be facilitated at any approved time during the 12 months; however, if the inmate is found guilty of STG Behavior and regressed in the program, he/she may lose any calls that are pending.	In accordance with general population
TELEVISION & RADIO	May possess or purchase one (1) television or one (1) radio or one (1) television/radio combination unit. Clear technology only.	May possess or purchase one (1) television or one (1) radio or one (1) television/radio combination unit. Clear technology only.	May possess or purchase one (1) television or one (1) radio or one (1) television/radio combination unit. Clear technology only.	May possess or purchase a TV and a radio or one (1) television/radio combination unit. Clear technology only.	In accordance with assigned institution's policy.
PERSONAL PROPERTY	Items listed in DOM, Section 54030.17, Authorized Personal Property Schedule.	Items listed in DOM, Section 54030.17, Authorized Personal Property Schedule.  Allow possession of	Items listed in DOM, Section 54030.17, Authorized Personal Property Schedule.  In addition to items allowed	Items listed in DOM, Section 54030.17, Authorized Personal Property Schedule.  In addition to items allowed	Items listed in DOM, Section 54030, Authorized Personal Property Schedule for the assigned general population

		playing cards as defined in DOM Section 54030.17.8 Games.	in Step 1, allow plastic tumbler; plastic bowl; pair of personal tennis shoes; combination of 10 books newspapers, or magazines; and wooden domino game.	in Step 2, allow a photo album; chess game, and checkers game.	institution.
PERSONAL PROPERTY PACKAGE	Inmates in SDP permitted one (1) personal package per year not to exceed 30 pounds.	Inmates in SDP permitted one (1) personal package per year not to exceed 30 pounds.	Inmates in SDP permitted one (1) personal package per year not to exceed 30 pounds.	Inmates in SDP permitted two (2) personal packages per year not to exceed 30 pounds, each.	Per CCR, Title 15, Section 3044.

*Section 700.4. Step Down Program Operational Components*

Operational Components of the Step Down Program are as follows:

	SDP STEP 1	SDP STEP 2	SDP STEP 3	SDP STEP 4	SDP STEP 5
SHU HOUSING LOCATION	<p>For Males - To be operated at PBSP SHU</p> <p>For Females - To be operated in a designated SHU unit</p> <p>If the inmate's case factors preclude housing at PBSP, appropriate SHU placement will be</p>	<p>For Males - To be operated at PBSP SHU</p> <p>For Females - To be operated in a designated SHU unit</p> <p>If the inmate's case factors preclude housing at PBSP, appropriate SHU placement will be</p>	<p>For Males - To be operated at CCI SHU or COR SHU</p> <p>For Females - To be operated in a designated SHU unit</p> <p>If the inmate's case factors preclude housing at CCI, SAC, or COR, appropriate SHU</p>	<p>For Males - To be operated at CCI SHU or COR SHU</p> <p>For Females - To be operated in a designated SHU unit</p> <p>If the inmate's case factors preclude housing at CCI, SAC, or COR, appropriate SHU</p>	<p>For Males - Level IV 180 design facility or other facility as approved by DRB.</p> <p>For Females - As determined by ICC.</p>

	determined	determined	placement will be determined	placement will be determined	
ICC REVIEW PERIODS	180 day ICC review in accordance with CCR, Title 15, Division 3, Section 3341.5 (c)(2)(A)1.	180 day ICC review in accordance with CCR, Title 15, Division 3, Section 3341.5 (c)(2)(A)1.	180 day ICC review in accordance with CCR, Title 15, Division 3, Section 3341.5 (c)(2)(A)1.	90 day ICC review	As determined appropriate at the general population institution.
SDP DURATION	12 months; however, at 180 day review, ICC may transition to next step.	12 months; however, at 180 day review, ICC may transition to next step.	Minimum of 12 months prior to movement to Step 4	Minimum of 12 months prior to review for release to Step 5 in a non-segregated setting.	Minimum of 12 months.
DOUBLE CELL POLICY	In accordance with departmental Inmate Housing Assignment Policy.	In accordance with departmental Inmate Housing Assignment Policy.	In accordance with departmental Inmate Housing Assignment Policy. Expectation for double cell housing unless precluded by CCR 3269(d) through (f).	In accordance with departmental Inmate Housing Assignment Policy. Expectation for double cell housing unless precluded by CCR 3269(d) through (f).	In accordance with departmental Inmate Housing Assignment Policy.
MAIL	Mail procedures and parameters in accordance with CCR, Title 15, Division 3, Subchapter 2, Article 4	Mail procedures and parameters in accordance with CCR, Title 15, Division 3, Subchapter 2, Article 4	Mail procedures and parameters in accordance with CCR, Title 15, Division 3, Subchapter 2, Article 4	Mail procedures and parameters in accordance with CCR, Title 15, Division 3, Subchapter 2, Article 4	Mail procedures and parameters in accordance with CCR, Title 15, Division 3, Subchapter 2, Article 4
YARD EXERCISE	Yard Exercise provided pursuant to CCR, Title 15, Section 3343(h)	Yard Exercise provided pursuant to CCR, Title 15, Section 3343(h)	Yard Exercise provided pursuant to CCR, Title 15, Section 3343(h)	Yard Exercise provided pursuant to CCR, Title 15, Section 3343(h)	As scheduled at the assigned general population institution.



	Recreation will include the use of isometric and exercise equipment as determined and deemed appropriate.	Recreation will include the use of isometric and exercise equipment as determined and deemed appropriate.	Recreation will include the use of isometric and exercise equipment as determined and deemed appropriate.	Yard interaction in a group yard setting with inmates of diverse affiliations after 6 months programming within Step 4.	
MEALS	Meals consumed in cell.	Meals consumed in cell.	Meals consumed in cell.	Meals consumed in section, unrestrained with other SDP inmates, as authorized by ICC.	As scheduled at the assigned general population institution.
SHOWERS	3 showers per week. Movement consistent with existing local policy.	3 showers per week. Movement consistent with existing local policy.	3 showers per week. Movement will be unrestrained and unescorted, with cell partner.	3 showers per week. Movement will be unrestrained and unescorted, with cell partner.	As scheduled at the assigned general population institution.
PROGRAM	May be conducted in assigned cell.	May be conducted in assigned cell or utilizing individual treatment modules, based upon decision of ICC.	May be conducted in assigned cell or utilizing individual treatment modules, based upon decision of ICC.	May be conducted in assigned cell, program area, utilizing individual treatment modules, or in small groups of unrestrained inmates, based upon decision of ICC.	As assigned through Unit Classification Committee.
WORK ASSIGNMENTS	N/A	N/A	Eligible for voluntary work assignments as porters, clerks, culinary workers –	Eligible for voluntary work assignments as porters, clerks, culinary workers –	As assigned through Unit Classification Committee.

			must remain within the building.	must remain within the building.	
VISITS	Non-Contact in accordance with CCR, Title 15, Chapter 5, Article 7.	Non-Contact in accordance with CCR, Title 15, Chapter 5, Article 7.	Non-Contact in accordance with CCR, Title 15, Chapter 5, Article 7.	Non-Contact in accordance with CCR, Title 15, Chapter 5, Article 7.	In accordance with CCR, Title 15, Chapter 5, Article 7.
RELIGION	Provided upon request in accordance with CCR 3343(k).	Provided upon request in accordance with CCR 3343(k).	Provided upon request in accordance with CCR 3343(k).	Provided upon request in accordance with CCR 3343(k).	In accordance with the institution Daily Activity Schedule and CCR 3343(k).

*Section 700.5. Step 4*

During the last 6 months of an inmate's time in Step 4, the assigned caseworker shall begin efforts to reconcile enemy concerns and update the CDCR Form 812STG, Notice of Critical Case Information – Safety of Persons (Non-Confidential Enemies)(Attachment U) and/or CDC Form 812-C, Notice of Critical Case Information – Confidential Enemies, as appropriate. These efforts will assist in preparation for the inmate's future potential reintegration into the general population.

During the third 90-day ICC review in Step 4, the STG Investigator shall present a CDC Form 128-B for submittal to OCS. At the conclusion of ICC, the STG Investigator shall forward the CDC Form 128-B to OCS to determine if they have received any information related to the inmate's continued participation in STG related behavior. OCS shall provide any updated information to the institution and the new behavior or confirmed intelligence shall be addressed, as outlined in Section 600. If no new information exists, OCS shall provide the STG Investigator with an updated CDCR Form 128-B2 recommending a change in the inmate's designation or recommending no change in the inmate's designation, due to new information received by OCS.

The inmate shall be scheduled for review by ICC upon completion of the 12 months and receipt of the CDCR Form 128-B2. ICC shall determine successful completion of step 4 based upon the individual inmate's meaningful participation and behavior. ICC shall review the CDCR Form 128-B SDP5, Notice of Conditions of Monitored Status (Attachment V) with the inmate and obtain his signature acknowledging understanding of the expectations.

Upon successful completion of Step 4, a male inmate shall generally be referred to the CSR for endorsement to a Level IV, 180 Design Facility (other than a Behavior Management Unit program) for a 12-month observation period (Step 5), regardless of the inmate's placement score. If a determination is made by ICC, that the inmate does not warrant 180 design housing, the ICC shall refer the case to the Departmental Review Board (DRB) for alternate housing consideration, e.g. Level III/IV 270 design facility.

Upon the successful completion of Step 4 as determined by ICC, a female inmate shall be reviewed by ICC for release to the general population for observation and monitoring.

**Section 800. Validated Inmate on Monitored Status.**